Certificate of Mailing

hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: May 5, 2004

Sonia V. McVean

PATENT 36856.593

CC 28/349

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takeshi NAKAO et al.

Serial No.: 10/043,204

Filed: January 14, 2002

Title: SURFACE ACOUSTIC WAVE DEVICE

AND FREQUENCY ADJUSTMENT

METHOD OF THE SAME

Art Unit: 2834

Examiner: M. Budd

## **INFORMATION DISCLOSURE STATEMENT**

na courty to provide the

Mail Stop DD **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of one (1) reference cited in the Examination Report issued in a corresponding German Patent Application. For the Examiner's convenience, we have enclosed an English translation of the German Examination Report and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of this

05/07/2004 MBERHE 00000080 10043204

01 FC:1806

180.00 OP

U.S. Serial No. 10/043,204 May 5, 2004 Page 2 of 2

statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application.

Applicants petition the Commissioner to consider this information disclosure statement pursuant to C.F.R. § 1.197(d). Enclosed is a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee. If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 50-1353.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: May 5, 2004

Attorneys for Applicant(s)

Joseph R. Keating Registration No. 37,368

Christopher A. Bennett Registration No. 46,710

KEATING & BENNETT LLP 10400 Eaton Place, Suite 312 Fairfax, VA 22030 (703) 385-5200 Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1

	Complete if Known	0100
Application Number	10/043,204	
Filing Date	January 14, 2002	(a)
First Named Inventor	Takeshi NAKAO	MAY 0 8 ZOOK
Art Unit	2834	E I
Examiner Name		Vy de
Attorney Docket Numbe	r 36856.593	O SMARIA

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2(if known)</sup>	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	5,235,233	08/1993	Yamamoto	

FOREIGN PATENT DOCUMENTS						
Examine r Initials*	Cite No.1	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
imuais"	-	Country Code <sup>3</sup> -Number-Kind Code <sup>5</sup> (if known)	141141 -1 1 1 1	Document	riguies Appear	
				,		
			at age to see			
			1.			
		NA V				
			,			
						<del></del>
10.00						
				<u> </u>		
				L		

Examiner	Date	•	
Signature	Cons	sidered	

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.